

A34106 - 066031.0148
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Sonnenschein et al.
Serial No. : 09/809,291 Examiner : To Be Assigned
Filed : March 15, 2001 Group Art Unit : To Be Assigned
For : FUNDOPLICATION APPARATUS AND METHOD

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231

June 14, 2001
Date of Deposit

Ronald B. Hildreth
Attorney Name

19,498
PTO Registration No.

[Signature]
Signature

June 14, 2001
Date of Signature

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants respectfully request that the enclosed and ordered documents, which are listed in the attached PTO-1449 Form be considered and made of record in the above-identified application.

Copies of some of these documents are enclosed. Copies of the following documents have been ordered:

IL 135117

IL 139788

A. Christensen, Ultrasonic Bioinstrumentation;

G.S. Kino, Acoustic Waves: Devices, Imaging and Analog Signal Processing, New Jersey: Prentice-Hall Inc., 1987;

John G. Proakis and Dimitris G. Manolakis, Digital Signal Processing Principles, Algorithms and Application, Third Edition, Prentice-Hall International Inc., New Jersey 1966;

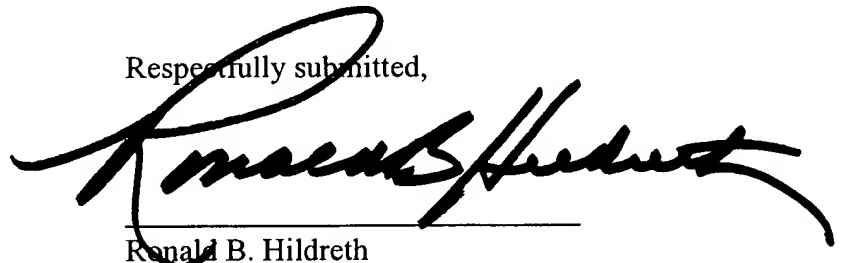
William W. Seto, Acoustics, Schaum's Outline Series, McGraw-Hill Inc., USA, 1971;

The Esophagus, 3rd Ed., Donald O. Castell, Ed., pp.515-517.

The three (3) enclosed Search Reports indicates the category, such as X, Y or A.

Therefore, no other explanation is necessary for each foreign language document cited in the Search Reports to be "made of record".

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read "Ronald B. Hildreth".

Ronald B. Hildreth
Patent Office Reg. No. 19,498

Attorney for Applicant

BAKER BOTTS L.L.P.
30 Rockefeller Plaza
New York, NY 10112
(212) 408-2544



Form PTO-1449 U.S. Department of Commerce
(REV. 2-82) Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Atty. Docket No.
066031.0148 (A34106)

Serial No.
09/809,291

Applicant:
Sonnenschein et al.

Filing Date
March 15, 2001

Group
To Be Assigned

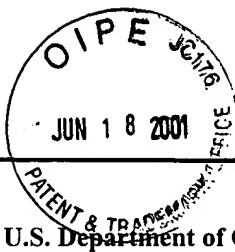
U.S. PATENT DOCUMENTS

| *Exam. Init. | Document No. | Date | Name | Class | Subclass | Filing Date if Appro. |
|-----------------|---------------|----------|-----------------------|-------|----------|--------------------------|
| | 6 1 4 9 5 9 8 | 11/21/00 | Tanaka | | | 03/29/99 |
| | 6 0 9 9 5 4 2 | 08/08/00 | Cohn et al. | | | 08/17/98 |
| | 6 0 9 0 1 2 0 | 07/18/00 | Wright et al. | | | 02/22/99 |
| | 5 9 0 6 5 7 8 | 05/25/99 | Rajan et al. | | | |
| | 5 8 9 7 5 6 2 | 04/27/99 | Bolanos et al. | | | |
| | 5 8 3 3 6 1 6 | 11/10/98 | Gruner et al. | | | |
| | 5 8 1 4 0 5 5 | 09/29/98 | Knodel et al. | | | |
| | 5 7 8 7 8 9 7 | 08/04/98 | Kieturakis | | | |
| | 5 7 7 6 0 4 9 | 07/07/98 | Takahashi | | | |
| | 5 7 7 2 0 9 9 | 06/30/98 | Gravener | | | |
| | 5 7 2 7 5 5 3 | 03/17/98 | Saad | | | |
| | 5 6 7 6 6 7 4 | 10/14/97 | Bolanos et al. | | | |
| | 5 6 3 0 5 4 1 | 05/20/97 | Williamson, IV et al. | | | |

Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



| | | |
|---|--|--------------------------|
| Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | Atty. Docket No. 066031.0148 (A34106) | Serial No. 09/809,291 |
| | Applicant: Sonnenschein et al. | |
| | Filing Date March 15, 2001 | Group To Be Assigned |
| | | |

| | | | | | | | | | | | | | | |
|--|--|--|---|---|---|---|---|---|---|----------|-----------------|--|--|--|
| | | | 5 | 6 | 0 | 3 | 4 | 4 | 3 | 02/18/97 | Clark et al. | | | |
| | | | 5 | 5 | 7 | 1 | 1 | 1 | 6 | 11/05/96 | Bolanos et al. | | | |
| | | | 5 | 4 | 5 | 2 | 8 | 3 | 6 | 09/26/95 | Huitema et al. | | | |
| | | | 5 | 4 | 4 | 5 | 1 | 4 | 4 | 08/29/95 | Wodicka et al. | | | |
| | | | 5 | 4 | 0 | 3 | 3 | 2 | 6 | 04/04/95 | Harrison et al. | | | |
| | | | 5 | 2 | 5 | 9 | 8 | 3 | 7 | 11/09/93 | Van Wormer | | | |
| | | | 5 | 3 | 9 | 5 | 0 | 3 | 0 | 03/07/95 | Kuramoto et al. | | | |
| | | | 5 | 1 | 9 | 7 | 6 | 4 | 9 | 03/30/93 | Bessler et al. | | | |
| | | | 5 | 1 | 8 | 1 | 5 | 1 | 4 | 01/26/93 | Solomon et al. | | | |
| | | | 5 | 1 | 2 | 9 | 5 | 7 | 0 | 07/14/92 | Schulze et al. | | | |
| | | | 3 | 8 | 8 | 9 | 6 | 6 | 2 | 06/17/75 | Mitsui | | | |
| | | | | | | | | | | | | | | |

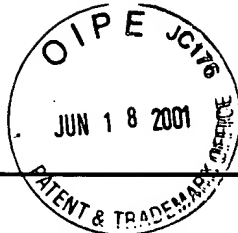
FOREIGN PATENT DOCUMENTS

| | | | Document No. | | | | | | | Date | Country | Class | Subclass | Translation | |
|--|--|--|--------------|---|---|---|---|---|---|----------|---------|-------|----------|-------------|----|
| | | | | | | | | | | | | | | Yes | No |
| | | | 0 | 0 | 5 | 3 | 1 | 0 | 2 | 09/14/00 | WIPO | | | | |
| | | | 9 | 1 | 0 | 0 | 0 | 4 | 9 | 01/10/91 | WIPO | | | | |
| | | | 8 | 9 | 1 | 1 | 2 | 5 | 2 | 11/30/89 | WIPO | | | | |

Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



| | | |
|---|--|--------------------------|
| Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | Atty. Docket No. 066031.0148 (A34106) | Serial No. 09/809,291 |
| | Applicant: Sonnenschein et al. | |
| | Filing Date March 15, 2001 | Group To Be Assigned |
| | | |

| | | | | | | | | | | | | | | | |
|--|--|--|---|---|---|---|---|---|---|----------|---------|--|--|--|--|
| | | | 4 | 2 | 4 | 1 | 9 | 3 | 8 | 06/16/94 | Germany | | | | |
| | | | 0 | 6 | 3 | 0 | 6 | 1 | 2 | 12/28/94 | Europe | | | | |
| | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | |

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

| | | | |
|--|--|--|--|
| | | | |
| | | | |

Examiner

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.